



Ref.OML:OCT:2023-24

DATED: 19.10.2023

Listing Department,  
**Metropolitan Stock Exchange of India Limited**  
205(A), 2nd floor, Piramal Agastya Corporate Park,  
Kamani Junction, LBS Road, Kurla (West),  
Mumbai – 400 070, Maharashtra, India  
MSEIL Scrip ID: OSWALMIN

**Subject: Compliance Report on Corporate Governance under Reg. 27(2) of SEBI (LODR) Regulations, 2015.**

Dear Sir/Madam,

Please find the Compliance Report on Corporate Governance for the 2nd Quarter ended 30.09.2022 as per Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you,  
Yours faithfully,  
For **OSWAL MINERALS LIMITED**



**MILAN MAROTI**  
**COMPANY SECRETARY**  
**MEMBERSHIP: A49355**

Encl: a/a

## OSWAL MINERALS LIMITED

Correspondence : "Oswal's, #1034, 2nd Floor, 1st Main Road, Dr. Rajkumar Road, 4th Block, Rajajinagar, Bengaluru-560 010 Ph.: 080-4309 0000 E-mail: info@oswalminerals.com/ Website: www.oswalminerals.com

Regd. Off. : # 8/11, Police Station Road, Pallavaram, Chennai-600 043  
CIN: L30006TN1996PLC035973 PAN No. AACCM6499G

## General information about company

Scrip code	000000
NSE Symbol	
MSEI Symbol	OSWALMIN
ISIN	INE469B01017
Name of the entity	OSWAL MINERALS LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Half Yearly
Date of Report	30-09-2023
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

## Annexure I

### Annexure I to be submitted by listed entity on quarterly basis

#### I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	SRIPAL KUMAR MOHANLAL		01000236	Executive Director	Not Applicable	MD	01-07-1965
2	Mrs	SAPNA JAIN		00436890	Non-Executive - Non Independent Director	Not Applicable		01-02-1982
3	Mr	SUBHASHCHAND MOHANLAL		01088346	Executive Director	Not Applicable		23-01-1976
4	Mrs	SEEMA JAIN		00437290	Non-Executive - Non Independent Director	Not Applicable		15-12-1978
5	Mr	MOHANLAL BHARATH KUMAR JAIN		01252633	Executive Director	Not Applicable		07-04-1980
6	Mrs	SARITHA DEVI		01261180	Non-Executive - Non Independent Director	Not Applicable		13-01-1970
7	Mr	MANISH KUMAR JAIN		07813662	Non-Executive - Independent Director	Chairperson		02-03-1989

8	Mr	BHAGCHAND GHISULAL JAIN		06894213	Non-Executive - Independent Director	Not Applicable		26-04- 1962
9	Mr	DINESH KUMAR		06925996	Non-Executive - Independent Director	Not Applicable		03-11- 1976

## I. Composition of Board of Directors

### Disqualification of Directors under section 164 of the Companies Act, 2013

Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				Active

### I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	NA		06-02-2004	26-03-2019			1	0	0	0		
2	NA		07-05-2004				1	0	0	0		
3	NA		01-04-2013				1	0	0	0		
4	NA		30-01-2017				1	0	0	0		
5	NA		27-02-2010	26-03-2019			1	0	1	0		
6	NA		01-07-2012				1	0	1	0		
7	NA		24-05-2017	24-05-2022		76.25	1	1	0	0		
8	NA		30-06-2014	30-09-2019		111	1	1	2	0		

9	NA		21-07-2014	30-09-2019		110.33	1	1	2	2		
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<b>Audit Committee Details</b>							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06925996	DINESH KUMAR	Non-Executive - Independent Director	Chairperson	21-07-2014		
2	06894213	BHAGCHAND GHISULAL JAIN	Non-Executive - Independent Director	Member	30-06-2014		
3	01252633	MOHANLAL BHARATH KUMAR JAIN	Executive Director	Member	27-02-2010		



<b>Nomination and remuneration committee</b>							
Whether the Nomination and remuneration committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06925996	DINESH KUMAR	Non-Executive - Independent Director	Chairperson	21-07-2014		
2	06894213	BHAGCHAND GHISULAL JAIN	Non-Executive - Independent Director	Member	30-06-2014		
3	01261180	SARITHA DEVI	Non-Executive - Non Independent Director	Member	01-07-2012		

<b>Stakeholders Relationship Committee</b>							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06925996	DINESH KUMAR	Non-Executive - Independent Director	Chairperson	21-07-2014		
2	06894213	BHAGCHAND GHISULAL JAIN	Non-Executive - Independent Director	Member	30-06-2014		
3	01252633	MOHANLAL BHARATH KUMAR JAIN	Executive Director	Member	27-02-2010		
4	01261180	SARITHA DEVI	Non-Executive - Non Independent Director	Member	01-07-2012		



<b>Corporate Social Responsibility Committee</b>							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06925996	DINESH KUMAR	Non-Executive - Independent Director	Chairperson	21-07-2014		
2	01000236	SRIPAL KUMAR MOHANLAL	Executive Director	Member	06-02-2004		
3	01252633	MOHANLAL BHARATH KUMAR JAIN	Executive Director	Member	27-02-2010		

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

## Annexure 1

### Annexure 1

#### III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	30-05-2023				Yes	9	9	3
2		14-07-2023	44		Yes	9	9	3
3		01-08-2023	17		Yes	9	9	3
4		24-08-2023	22		Yes	9	9	3
5		14-09-2023	20		Yes	9	9	3

## Annexure 1

### IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	30-05-2023				Yes	3	3	2	0
2	Audit Committee	01-08-2023	62			Yes	3	3	2	0
3	Stakeholders Relationship Committee	30-05-2023				Yes	4	4	2	0
4	Stakeholders Relationship Committee	01-08-2023	62			Yes	4	4	2	0

## Annexure 1

### V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	



## Annexure 1

### VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	No
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	No
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	No

**Annexure 1**

Sr	Subject	Compliance status
1	Name of signatory	MILAN MAROTI
2	Designation	Company Secretary and Compliance Officer

### Annexure III

#### III. Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
7	Whether “Corporate Governance Report” disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
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**Annexure III**

<b>Annexure III</b>		
1	Name of signatory	MILAN MAROTI
2	Designation	Company Secretary and Compliance Officer

**Additional Half yearly Disclosure**

Applicability of disclosure

Not Applicable

Reason for Non Applicability

Textual Information(1)

**Text Block**

Textual Information(1)

The Company has not provided and loan/guarantee/comfort letter/security etc directly or indirectly to the Promoters or any other entities controlled by them; Promoter group or any other entities controlled by them; Directors(including relatives) or any other entities controlled by them; KMPs or any other entities controlled by them.

<b>Details of Cyber security incidence</b>	
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Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No
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### **Signatory Details**

Name of signatory	MILAN MAROTI
Designation of person	Company Secretary and Compliance Officer
Place	BANGALORE
Date	19-10-2023



