

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L30006TN1996PLC035973

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AACCM6499G

(ii) (a) Name of the company

OSWAL MINERALS LIMITED

(b) Registered office address

8/11 POLICE STATION ROAD, PALLAVARAM, CHENNAI-43
VARAM, CHENNAI-43
VARAM, CHENNAI-43
Tamil Nadu
600043

(c) *e-mail ID of the company

info@oswalminerals.com

(d) *Telephone number with STD code

08043090000

(e) Website

www.oswalminerals.com

(iii) Date of Incorporation

04/07/1996

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	MSEI (Previously Madras, Bangalore & Hyderabad)(A)	56

(b) CIN of the Registrar and Transfer Agent

U67120TN1998PLC041613

Pre-fill

Name of the Registrar and Transfer Agent

CAMEO CORPORATE SERVICES LIMITED

Registered office address of the Registrar and Transfer Agents

"SUBRAMANIAN BUILDING"
No.1 CLUB HOUSE ROAD

(vii) *Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

30/09/2020

(b) Due date of AGM

25/12/2020

(c) Whether any extension for AGM granted

☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	G	Trade	G1	Wholesale Trading	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	8,500,000	7,550,400	7,550,400	7,550,400
Total amount of equity shares (in Rupees)	85,000,000	75,504,000	75,504,000	75,504,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY				
Number of equity shares	8,500,000	7,550,400	7,550,400	7,550,400
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	85,000,000	75,504,000	75,504,000	75,504,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	7,550,400	75,504,000	75,504,000	

Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
At the end of the year	7,550,400	75,504,000	75,504,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☒

Yes

☐

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		25/09/2019	
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			451,707,186
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			2,434,324,364
Deposit			0
Total			2,886,031,550

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

16,261,774,425

(ii) Net worth of the Company

298,019,472

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	5,556,992	73.6	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	5,556,992	73.6	0	0

Total number of shareholders (promoters)

10

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,371,389	18.16	0	
	(ii) Non-resident Indian (NRI)	950	0.01	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	620,869	8.22	0	
10.	Others CLEARING MEMBER	200	0	0	
	Total	1,993,408	26.39	0	0

Total number of shareholders (other than promoters)

922

**Total number of shareholders (Promoters+Public/
Other than promoters)**

932

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	10	10
Members (other than promoters)	927	922
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	3	3	3	3	37.74	7.55
B. Non-Promoter	0	3	0	3	0	1.99
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	3	0	3	0	1.99
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	6	3	6	37.74	9.54

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

11

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SAPNA JAIN	00436890	Director	278,000	
SEEMA JAIN	00437290	Director	292,000	
SRIPAL KUMAR MOHA	01000236	Managing Director	988,960	
SUBHASHCHAND MOH	01088346	Director	1,582,800	
SUBHASHCHAND MOH	01088346	CFO	0	
MOHANLAL BHARATH	01252633	Whole-time director	277,900	
SARITHA DEVI	01261180	Director	0	
GHISULAL BHAGCHAN	06894213	Director	0	
DINESH KUMAR	06925996	Director	0	
MANISH KUMAR JAIN	07813662	Director	150,000	
MILAN MAROTI	BBUPM0152M	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	25/09/2019	937	10	70.28

B. BOARD MEETINGS

*Number of meetings held

10

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	09/04/2019	9	9	100
2	30/05/2019	9	9	100
3	14/06/2019	9	9	100
4	14/08/2019	9	8	88.89
5	17/08/2019	9	9	100
6	23/09/2019	9	9	100
7	12/11/2019	9	9	100
8	13/01/2020	9	9	100
9	14/02/2020	9	9	100
10	21/03/2020	9	9	100

C. COMMITTEE MEETINGS

Number of meetings held

11

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	30/05/2019	3	3	100
2	AUDIT COMM	14/08/2019	3	2	66.67
3	AUDIT COMM	12/11/2019	3	3	100
4	AUDIT COMM	14/02/2020	3	3	100
5	NOMINATION	30/05/2019	4	4	100
6	NOMINATION	14/02/2020	4	4	100
7	STAKEHOLDER	30/05/2019	4	4	100
8	STAKEHOLDER	14/08/2019	4	3	75
9	STAKEHOLDER	12/11/2019	4	4	100
10	STAKEHOLDER	14/02/2020	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	30/09/2020
								(Y/N/NA)
1	SAPNA JAIN	9	9	100	2	2	100	Yes
2	SEEMA JAIN	9	9	100	0	0	0	Yes
3	SRIPAL KUMAR	9	9	100	1	1	100	Yes
4	SUBHASHCHANDRA	9	9	100	0	0	0	Yes
5	MOHANLAL BANSAL	9	8	88.89	9	6	66.67	Yes
6	SARITHA DEVI	9	9	100	6	6	100	Yes
7	GHISULAL BHARGAVA	9	9	100	10	10	100	Yes
8	DINESH KUMAR	9	9	100	11	11	100	Yes
9	MANISH KUMAR	9	9	100	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SRIPAL KUMAR M	MANAGING DIF	600,000				600,000
2	MOHANLAL BHAR	WHOLETIME D	600,000				600,000
	Total		1,200,000	0			1,200,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUBHASHCHAND	CHIEF FINANCI	600,000	0	0	0	600,000
2	MILAN MAROTI	COMPANY SEC	804,037	0	0	0	804,037
	Total		1,404,037	0	0	0	1,404,037

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SARITHA DEVI	DIRECTOR	60,000	0	0	0	60,000
2	SAPNA JAIN	DIRECTOR	60,000	0	0	0	60,000
3	SEEMA JAIN	DIRECTOR	60,000	0	0	0	60,000
	Total		180,000	0	0	0	180,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- * A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status
------------------------------------------	----------------------------------------	---------------	--------------------------------------------------------------	--------------------------------	-----------------------------------------------------

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(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

S. VENKATRAMAN HEDGE

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

14223

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. 11 dated 31/07/2020

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

01252633

To be digitally signed by

- ☒ Company Secretary
- ☐ Company secretary in practice

Membership number

49355

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

MGT-8 OSWAL.pdf

COMMITTEE MEETINGS DETAILS.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



OSWAL GROUP

COMMITTEE MEETING DETAILS OF OSWAL MINERALS LIMITED FOR THE F.Y 2019-20.

S. No.	Type of Meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members Attended	% of attendance
1.	AUDIT COMMITTEE MEETING	30/05/2019	3	3	100
2.	AUDIT COMMITTEE MEETING	14/08/2019	3	2	66.67
3.	AUDIT COMMITTEE MEETING	12/11/2019	3	3	100
4.	AUDIT COMMITTEE MEETING	14/02/2020	3	3	100
5.	NOMINATION & REMUNERATION COMMITTEE MEETING	30/05/2019	4	4	100
6.	NOMINATION & REMUNERATION COMMITTEE MEETING	14/02/2020	4	4	100
7.	STAKEHOLDER'S RELATIONSHIP COMMITTEE	30/05/2019	4	4	100
8.	STAKEHOLDER'S RELATIONSHIP COMMITTEE	14/08/2019	4	3	75
9.	STAKEHOLDER'S RELATIONSHIP COMMITTEE	12/11/2019	4	4	100
10.	STAKEHOLDER'S RELATIONSHIP COMMITTEE	14/02/2020	4	4	100
11.	CORPORATE SOCIAL RESPONSIBILITY COMMITTEE	14/08/2020	3	2	66.67

For OSWAL MINERALS LIMITED

Milani
MILAN MAROTI
(COMPANY SECRETARY)
M. No. A49355

OSWAL MINERALS LIMITED

Correspondence : "Oswal's, #1034, 2nd Floor, 1st Main Road, Dr. Rajkumar Road, 4th Block, Rajajinagar, Bengaluru-560 010 Ph.: 080-4309 0000 E-mail: info@oswalminerals.com/ Website: www.oswalminerals.com

Regd. Off. : # 8/11, Police Station Road, Pallavaram, Chennai-600 043

CIN: L30006TN1996PLC035973

PAN No. AACCM6499G

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE

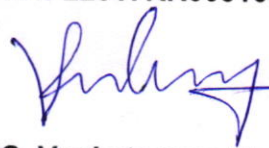
We have examined the registers, records, books and papers of "**OSWAL MINERALS LIMITED**" (CIN: **L30006TN1996PLC035973**) (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on 31st March, 2020. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act.
 2. Maintenance of registers/records & making entries therein within the time prescribed thereof.
 3. Filing of forms and returns with the Registrar of Companies within/beyond the prescribed time as stated in **Annexure 'A'**. There were no filings made with Regional Director, Central Government, Tribunal, Court or other authorities.
 4. Calling/ convening/ holding meetings of Board of Directors or its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed.
 5. Closure of Register of Members / Security holders, as the case may be.
 6. During the year, the Company has not given any advances or loans to its Directors and/ or persons or firms or companies referred in Section 185 of the Act.
 7. There were Contracts/arrangements with related parties as specified in Section 188 of the Act and stated in **Annexure 'B'** be to this certificate.
 8. There were no issue and allotment of shares and accordingly no issue of security certificates were made during the year. There were transfer of shares during the year. There were no transmission or buy back of securities/ redemption of preference shares or debentures/ reduction of share capital/ conversion of shares/ securities.
 9. There were no instances for keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.

10. (a) The Company has not Declared any dividend;
(b) The Company was not required to Transfer unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act.
11. Signing of audited financial statement as per the provisions of Section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof.
12. Constitution, disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them.
13. The Company has appointed new auditor for a term of 5 years at the Annual General Meeting (AGM) held on 24th September, 2018 as per the provision of section 139 of the Act, 2013.
14. During the year, the Company not sought any approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.
15. The Company has not accepted public deposits during the year.
16. The Company has borrowed from banks and enhanced existing credit facility, accordingly modification of charges is applicable. The Company has borrowed from Directors. There were no borrowings from Members and Public financial institutions.
17. During the year, the Company has not given the loans and made investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act.
18. There was no alteration of the provisions of the Memorandum of Association. There was alteration of Articles of Association of the Company.

Date: 09th December 2020
Place: Bangalore

For V&V Co. LLP
Company Secretaries
FRN: L2017KR003100



CS. Venkatraman Hegde
Partner

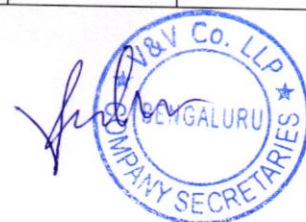
M. No: F10887; CP. No:14223
UDIN: F010887B001442922



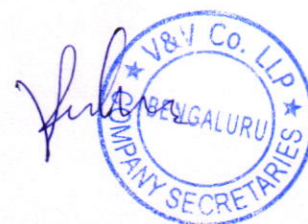
ANNEXURE A

Forms and Returns as filed by M/s. Oswal Minerals Limited with Registrar of Companies during the financial year ending 31.03.2020

Sl. No	Form/Return	Filed U/S	Particulars of form filed	Date of Filing	Whether filed within the prescribed time Yes/No	If delay in Filing, Whether Requisite Additional fee paid Yes/No	Track Transaction status on website
1	CHG-1	77 & 79	Modification of charge (ID 10469083) with RBL Bank Limited	April 09, 2019	Yes	NA	Approved
2	MR-1	196 & 197	Return of appointment of managerial personnel	April 11, 2019	Yes	NA	Approved
3	MR-1	196 & 197	Return of appointment of managerial personnel	April 11, 2019	Yes	NA	Approved
4	MSME FORM I	Order 2 and 3 dated 22 January, 2019 issued under Section 405	Form for furnishing half yearly return with the registrar in respect of outstanding payments to Micro or Small Enterprises	May 30, 2019	Yes	NA	Approved
5	MSME FORM I	Order 2 and 3 dated 22 January, 2019 issued under Section 405	Form for furnishing half yearly return with the registrar in respect of outstanding payments to Micro or Small Enterprises	May 30, 2019	Yes	NA	Approved
6	MGT-14	179	Consider and Approve the financial statement and Board report for the FY 31 st March 2019	June 08, 2019	Yes	No	Approved
7	DPT-3	Rule 16 of the Companies (Acceptance of Deposits) Rules, 2014	Return of deposits	June 30, 2019	No	Yes	Approved
8	DPT-3	Rule 16 of the Companies (Acceptance of Deposits) Rules, 2014	Return of deposits	June 30, 2019	Yes	NA	Approved
9	CHG-1	77 & 79	Modification of charge (ID 10368306) with CITI Bank NA	August 05, 2019	No	Yes	Approved
10	MGT-14	179	Reappointment of Independent Director and Alteration of Articles of Association	October 02, 2019	Yes	No	Approved



11	MSME FORM I	Order 2 and 3 dated 22 January, 2019 issued under Section 405	Form for furnishing half yearly return with the registrar in respect of outstanding payments to Micro or Small Enterprises	October 31, 2019	Yes	NA	Approved
12	MGT-15	121	Report on Annual General Meeting	October 02, 2019	Yes	NA	Approved
13	AOC-4 (XBRL)	137	Financial Statements in XBRL Form	October 02, 2019	Yes	NA	Approved
14	MGT-7	92	Annual Return	November 19, 2019	Yes	NA	Approved
15	MGT-14	179	Appointment of Secretarial Auditor	February 19, 2020	Yes	NA	Approved



ANNEXURE B:**RELATED PARTY TRANSACTIONS FOR THE FINANCIAL YEAR 2019-20**

Details of related party transactions during the year ended 31 st March, 2020:		
	Particulars	Amount in Rs.
		As at 31 st March, 2020
I	Key Management Personnel (KMP)/ Director	
	(ii) Rent	
	Mohanlal Bharath Kumar Jain	47,55,204
	Sripal Kumar Mohanlal	2,25,060
	(iii) Remuneration	
	Milan Maroti (CS)	8,04,037
	Subhashchand Mohanlal	6,00,000
	Saritha Devi	60,000
	Seema Jain	60,000
	Mohanlal Bharath Kumar Jain	6,00,000
	Sripal Kumar Mohanlal	6,00,000
	Sapna Jain	60,000
II	Relatives of KMP/ Director	
	(i) Rent	
	Hirachand Mohanlal	6,54,888
	Vimal Kumar Jain	14,21,320
III	Companies/ Firm in which KMP/ Director is Director or Member or Partner	
	(i) Purchases	
	Oswal Smelters Private Limited	8,15,50,249
	Oswal Alloys Private Limited	31,93,96,724
	(ii) Sales	
	Oswal Smelters Private Limited	10,84,45,270
	Oswal Alloys Private Limited	1,38,32,593

