



Ref.OML:MAR:2021-22

DATED: 24.03.2022

Listing Department,
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th floor,
Plot No C 62, Opp. Trident Hotel
Bandra Kurla Complex, Bandra (E),
Mumbai – 400098
Email Id: listingcompliance@msei.in.
Script code: OSWALMIN

Sub: Communications to holders of securities in physical mode
Ref: Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

We enclosed herewith a copy of Notice addressed to the shareholders holding shares in physical mode, in compliance with the SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 3, 2021 read with clarificatory SEBI Circular No. BI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/687 dated December 14, 2021.

The Company has also uploaded prescribed forms viz. ISR-1 (Investor Service Request), ISR-2 (Confirmation of Signature), ISR-3 (Opting-out of Nomination), SH-13 (Nomination Form) and SH-14 (Cancellation/ Variation of Form) on its website and an intimation is being sent to concerned shareholder holding shares in physical mode to furnish PAN, KYC and nomination details with the Registrars and Transfer Agent of the Company viz. Cameo Corporate Services Limited, in compliance with said circular.

This intimation is also being uploaded on the Company's website.

This is for your information and record.

Thanking you,
Yours faithfully,
For OSWAL MINERALS LIMITED



MILAN MAROTI
COMPANY SECRETARY*
MEM: A49355

OSWAL MINERALS LIMITED

Correspondence : "Oswal's, #1034, 2nd Floor, 1st Main Road, Dr. Rajkumar Road, 4th Block, Rajajinagar, Bengaluru-560 010 Ph.: 080-4309 0000 E-mail: info@oswalminerals.com/ Website: www.oswalminerals.com

Regd. Off. : # 8/11, Police Station Road, Pallavaram, Chennai-600 043

CIN: L30006TN1996PLC035973

PAN No. AACCM6499G

**CAMEO CORPORATE SERVICES LIMITED**

Subramanian Building, No.1 Club House Road, Chennai 600 002, Tamilnadu
 CIN : [U67120TN1998PLC041613](#); Ph: 91-44-28460390 / 91-44-40020700 (Board);
 Email: kyc@cameoindia.com; Web : www.cameoindia.com

Name of Company : OSWAL MINERALS LIMITED
 Folio no : 00002499

Date : 23-03-2022



MANISH SHAH
 4 HEMNIWAS KILACHAND ROAD
 OPP SHANKAR LANE
 KANDIVALI (W)
 MUMBAI
 PIN : 400067

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"FOR URGENT ATTENTION"

Name of Second Holder : ---

Name of Third Holder : ---

Dear Shareholder,

Sub: Furnishing of PAN, KYC details and nomination by the holders of physical securities

Ref: SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated 03.11.2021 and SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/687 dated 14.12.2021

We hope this communicate finds you and your family in good health.

This has reference to the shares held by you in the above referred company, under the folio number mentioned above. SEBI vide above captioned circulars has stated that it shall be mandatory for all holders of physical securities in listed companies to furnish PAN, KYC details and Nomination.

We, as Registrar and Share Transfer Agents (RTA) of OSWAL MINERALS LIMITED observe from our records that a few information in your folio are incomplete. **We request you to go through the details stated in the below table thoroughly and in case any changes required / incomplete information, kindly submit the documents / details as mentioned therein to us immediately on receipt of this letter through hand delivery at our office or by Post.**

Sl. No.	Particulars	Status as per records	Please furnish details in
1	PAN of Sole / First Holder Second Holder Third Holder	AM*****3D --- ---	Form ISR-1 enclosed - Request for registering PAN, KYC Details or Changes / Updation thereof.
2	Postal Address with PIN (6 digit PIN is mandatory)	4 HEMNIWAS KILACHAND ROAD OPP SHANKAR LANE KANDIVALI (W) MUMBAI 400067	
3	Email Address	in*****07@rediffmail.com	
4	Mobile Number – 10 digits	9821****55	
5	Bank Account Details :		
a	Bank Name	Please Provide	
b	Branch Name		
c	Account Number	Please Provide	Form ISR-2 enclosed - Confirmation of Signature of securities holder by the Banker.
d	IFSC	Please Provide	
6	Specimen Signature	Please Provide	Form SH13 - Nomination Form and Form ISR-3 - Declaration for 'opting out of Nomination' (Both enclosed).
7	Nomination details	Please Provide	

The above forms can also be downloaded from our website at <https://cambridge.cameoindia.com> and the website of the company.

On registration of your mobile number and e-mail id in our records, we will send a separate communication for validating the same.

Please note that henceforth, the Company / RTAs shall not process any service requests or complaints received from the holder(s) / claimant(s), till PAN, KYC and Nomination documents/details are received. Hence it is mandatory to update the details as requested.

It may also be noted that the folios in which the PAN registered is not linked with Aadhaar as on the notified cutoff date of 31.03.2022 or any other date as may be specified by CBDT shall be frozen. So kindly link your PAN with Aadhaar number at the earliest.

We draw your attention that if the folios wherein any one of the cited documents / details are not available on or after 01.04.2023, the folios shall be frozen. Please note, if the folio continues to remain frozen as on 31.12.2025 the details of securities in the frozen folios shall be informed to the administering authority under the Benami Transactions (Prohibitions) Act, 1988 and / or Prevention of Money laundering Act, 2002.

We look forward to your immediate response.

Thanking you,

For Cameo Corporate Services Limited

Sd/-

Sreepriya K.

Vice President & Company Secretary

Encl: As above

This communication is computer generated and hence does not require signature

General Instructions to fill Forms

1. Please carefully read the form completely before filling it.
2. Avoid over writing in the forms.
3. Supporting documents submitted are to be self-attested by the respective shareholders with date.
4. Form ISR-1 : In case flat maintenance bill, proof of address in the name of spouse is submitted as proof for change in address, additional self-attested copy of ID proof of the holder / claimant to be provided.
5. Form ISR-2 to be filled and submitted if Signature not registered as per SI.No.6 above. Original cancelled cheque with name of the first / sole shareholder printed on it OR Bank Passbook OR Bank Statement attested by the Bank should be furnished with Form ISR-2.
6. Form ISR-3 **OR** Form SH-13 to be filled and submitted if Nomination is not registered as per SI.No.7 above.
 - a. Nomination form SH-13 to be filled and forward to us in duplicate.
 - b. As per section (2) of section 72 of Companies Act, 2013, where the securities are held by more than one person jointly, the joint holders may together nominate any person to whom all the rights in the securities of the Company shall vest, in the event of death of all the joint holders.
 - c. If the shares are held jointly, subsequent to the death of anyone of the holders, the shares would not be registered in favour of the nominee but would be transferred in the name of the surviving shareholders.
 - d. Non-individuals including Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family (HUF) and Power of Attorney holder cannot appoint nominee.
 - e. The shareholder can nominate a minor as a nominee and in that event the name and address of the Guardian shall be provided.
 - f. The nominee shall not be a Trust, Society, Body Corporate, Partnership Firm, Karta of HUF and power of attorney holder.
 - g. Nomination given in the form is for physical securities. The nomination automatically stands cancelled once the shares are dematerialised.
 - h. The Nomination form has to be dated by the nominator and the witness should certify that the nominator has signed the form in presence. Further, the date of execution on the Nomination Form should match with the date of witness, witnessing the document.
 - i. Enclose a self-attested copy of PAN card of nominee.



MCO:00002499:GR VI

Form ISR – 1

(See SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

Date: ____ / ____ / ____

A. I / We request you to Register / Change / Update the following (Tick ✓ relevant box)

<input type="checkbox"/> PAN	<input type="checkbox"/> Bank details	<input type="checkbox"/> Signature
<input type="checkbox"/> Mobile number	<input type="checkbox"/> E-mail ID	<input type="checkbox"/> Address

B. Security Details:

Name of the Issuer Company	OSWAL MINERALS LIMITED	Folio No.: 00002499
Name(s) of the Security holder(s) as per the Certificate(s)	1.MANISH SHAH 2.--- 3.---	
Number & Face value of securities		
Distinctive number of securities (Optional)	From	To

C. I / We are submitting documents as per Table below (tick ✓ as relevant, refer to the instructions):

	✓	Document / Information / Details	Instruction / Remark
1		PAN of (all) the (joint) holder(s)	
		PAN Whether it is Valid (linked to Aadhaar): <input type="checkbox"/> Yes <input type="checkbox"/> No	1. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> 2. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> 3. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> PAN shall be valid only if it is linked to Aadhaar by March 31, 2022* For Exemptions / Clarifications on PAN, please refer to Objection Memo in page 4
2		Demat Account Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.

3	Proof of Address of the first holder	<p>Any one of the documents, only if there is change in the address;</p> <p><input type="checkbox"/> Client Master List (CML) of your Demat Account, provided by DP.</p> <p><input type="checkbox"/> Valid Passport/ Registered Lease or Sale Agreement of Residence / Driving License / Flat Maintenance bill.</p> <p><input type="checkbox"/> Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.</p> <p><input type="checkbox"/> Identity card / document with address, issued by any of the following: Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions.</p> <p><input type="checkbox"/> For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.</p> <p><input type="checkbox"/> The proof of address in the name of the spouse</p>
4	Bank details	<p>Account Number: _____</p> <p>(Pl provide Core Banking Account Number)</p> <p>Account Type : _____</p> <p>MICR No. (9 digits) : _____</p> <p>Bank Name: _____</p> <p>Branch Name: _____</p> <p>IFS Code: _____</p> <p>Provide the following:</p> <p><input type="checkbox"/> <u>original cancelled cheque</u> with name of security holder printed on it <i>or</i> <u>Bank Passbook or Bank Statement attested by the Bank #</u></p>
5	E-mail address	_____ #
6	Mobile	_____ #

* or any date as may be specified by the CBDT

(DP: Depository Participant)

In case it is not provided, the details available in the CML will be updated in the folio

Authorization: I / We authorise you (RTA) to update the above PAN and KYC details in my / our folio(s) _____ 00002499 _____, (use Separate Annexure if extra space is required) in which I / We are the holder(s) (strike off what is not applicable).

Declaration: All the above facts stated are true and correct.

	Holder 1	Holder 2	Holder 3
Signature	✓		
Name	✓		
Full address	✓		
PIN	✓ □ □ □ □ □ □	□ □ □ □ □ □	□ □ □ □ □ □

Mode of submission of documents to the RTA

Please use any one of the following mode;

1. In Person Verification (IPV): by producing the originals to the authorized person of the RTA, who will retain copy(ies) of the document(s)
2. In hard copy: by furnishing self-attested photocopy(ies) of the relevant document, with date
3. Through e-mail address already registered with the RTA, with e-sign of scanned copies of documents
4. Service portal of the RTA with e-sign with scanned copies of documents, if the RTA is providing such facility

Note

<ul style="list-style-type: none"> It is mandatory for holders of physical securities in listed company to furnish PAN, full KYC details (address proof, bank details, e-mail address, mobile number) and Nomination (for all the eligible folios). 	
<ul style="list-style-type: none"> Upon receipt or updation of bank details, the RTA will automatically, pay electronically, all the moneys of / payments to the holder that were previous unclaimed / unsuccessful. 	
<ul style="list-style-type: none"> RTA shall update the folio with PAN, KYC details and Nominee, within seven working days of its receipt. However, cancellation of nomination, shall take effect from the date on which this intimation is received by the company / RTA. 	
<ul style="list-style-type: none"> RTA shall not insist on Affidavits or Attestation / Notarization or indemnity for registering / up- dating / changing PAN, KYC details and Nomination. 	
<ul style="list-style-type: none"> Specimen Signature 	<ul style="list-style-type: none"> Provide banker's attestation of the signature of the holder(s) as per Form ISR – 2 in SEBI circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021) and original cancelled cheque with name of security holder printed on it or Bank Passbook or Bank Statement attested by the Bank
<ul style="list-style-type: none"> Nomination** 	<ul style="list-style-type: none"> Providing Nomination: Please submit the duly filled up Nomination Form (SH-13) or 'Declaration to Opt out of Nomination' as per Form ISR-3, in SEBI circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 Change in Existing Nomination: Please use Form SH-14 in SEBI circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 Cancellation of Existing Nomination: use Form SH-14 & Form ISR – 3

** Nomination (**Form SH-13 or SH-14**) / 'Declaration to Opt-Out of nomination' (**Form ISR – 3**), has to be furnished by the holder(s) separately for each listed company.

(Page 3 & 4 is for information to investors; print out of the same is not required)

Objection Memo that can be raised by the RTA

(only if the relevant document / details is / are not available in the folio or if there is a mismatch / discrepancy in the same or change thereof)

Note

RTAs shall raise all objections, if any / at all, in one instance only; the RTA shall not raise further objections on the same issue again and again, after the holder / claimant furnishes all the prescribed documents and details, unless there is any deficiency / discrepancy in the same.

No.	Item	Documents / Details to be provided to the RTA by the holder(s) / claimant(s)
1	PAN – Exceptions and Clarification	‘Exemptions/clarifications to PAN’, as provided in clause D to ‘Instructions/Check List for Filing KYC Forms’ in Annexure – 1 to SEBI circular No. MIRSD/SE/Cir - 21/2011 dated October 05, 2011 on Uniform Know Your Client (KYC) Requirements for the Securities Market, shall also be applicable for holder(s) / claimant(s) of securities held in physical mode.
2	Minor mismatch in Signature - minor	The RTA shall intimate the holder at the existing address, seeking objection, if any, within 15 days
3	Major mismatch in Signature or its non-availability with the RTA	<ul style="list-style-type: none"> Banker’s attestation of the signature of the holder(s) as per Form ISR – 2 <u>Original cancelled cheque</u> with name of security holder printed on it or <u>Bank Passbook</u> or <u>Bank Statement attested by the Bank</u>
4	Mismatch in Name	Furnish any one of the following documents, explaining the difference in names; <ul style="list-style-type: none"> Unique Identification Number (UID) (Aadhaar) Valid Passport Driving license PAN card with photograph Identity card / document with applicant’s Photo, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions Marriage certificate Divorce decree
5	Present address of the holder is not matching with the address available in the folio	RTA shall issue intimation to both the old and new addresses. <ul style="list-style-type: none"> In case where the letter is undelivered at the old address, RTA shall not insist for any proof of the old address provided the current address proof is in the form of an address proof issued by a Government Authority. The above procedure will be applicable for request for change in address of the holder also

(Page 3 & 4 is for information to investors; print out of the same is not required)



MCO:00002499:GR VI

Form ISR – 2

(see circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

Confirmation of Signature of securities holder by the Banker

Name of Company : OSWAL MINERALS LIMITED Folio No. 00002499

1. Bank Name and Branch			
2. Bank contact details Postal Address Phone number E-mail address			
3. Bank Account number			
4. Account opening date			
5. Account holder(s) name(s)	1) 2) 3)		
<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="border: 1px solid black; padding: 20px; text-align: center;">1st Holder</div> <div style="border: 1px solid black; padding: 20px; text-align: center;">2nd Holder</div> <div style="border: 1px solid black; padding: 20px; text-align: center;">3rd Holder</div> </div>			
7. Account holder(s) details as per Bank Records			
a) Address			
b) Phone number			
c) Email address			
d) Signature(s)	1) <div style="border: 1px solid black; width: 150px; height: 40px; display: inline-block;"></div> 2) <div style="border: 1px solid black; width: 150px; height: 40px; display: inline-block;"></div> 3) <div style="border: 1px solid black; width: 150px; height: 40px; display: inline-block;"></div>		
Seal of the Bank	Signature verified as recorded with the Bank		
	(Signature)		
Place:	Name of the Bank Manager		
	Employee Code		
Date:	E-mail address		



MCO:00002499:GR VI

Form No. SH-13**Nomination Form**

[Pursuant to section 72 of the Companies Act, 2013 and rule 19(1) of the Companies (Share Capital and Debentures) Rules 2014]

To

Name of the company : OSWAL MINERALS LIMITED

Address of the company: _____

I/We the holder(s) of the securities particulars of which are given hereunder wish to make nomination and do hereby nominate the following persons in whom shall vest, all the rights in respect of such securities in the event of my/our death.

(1) PARTICULARS OF THE SECURITIES (in respect of which nomination is being made)

Nature of securities	Folio No.	No. of securities	Certificate No.	Distinctive No.
Equity Shares	00002499			

(2) PARTICULARS OF NOMINEE/S —

a) Name:	
b) Date of Birth:	
c) Father's / Mother's / Spouse's Name:	
d) Occupation:	e) Nationality
f) Address: _____ PIN : _____	
g) Email id:	
h) Relationship with the security holder:	
i) Mobile No. of Nominee	
j) PAN No.*	

* Kindly enclose PAN card copy self-attested by Nominee

(3) IN CASE NOMINEE IS A MINOR—

(a) Date of Birth	
(b) Date of attaining Majority	
(c) Name of Guardian	
(d) Address of Guardian	PIN : _____

(4) PARTICULARS OF NOMINEE IN CASE MINOR NOMINEE DIES BEFORE ATTAINING AGE OF MAJORITY

a) Name:	
b) Date of Birth:	
c) Father's / Mother's / Spouse's Name:	
d) Occupation:	e) Nationality :
f) Address: _____ PIN : _____	
g) Email id:	
h) Relationship with the security holder:	
i) Mobile No. of Nominee	
j) Relationship with the minor nominee	

Name :	
Address: _____ PIN : _____	
Name of Security Holder (s)	Signature with date
1. MANISH SHAH	
2. ---	
3. ---	
Witness Name :	
Witness Address: _____ PIN : _____	
Witness Signature with Date	

NOTE: 1. Nomination form to be filled in duplicate. 2. Furnishing of SH-13 OR ISR-3 is mandatory

Form ISR - 3

Declaration Form for Opting-out of Nomination by holders of physical securities in Listed Companies

(see SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

[Under Section 72 r/w Section 24 (1) (a) of Companies Act, 2013 r/w Section 11(1) and 11B of SEBI Act, 1992 and Clause C in Schedule VII and Regulation 101 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)]

Name of the Company : OSWAL MINERALS LIMITED
Registered Address of the Company:

I / we the holder(s) of the securities particulars of which are given hereunder, **do not wish to nominate** any person(s) in whom shall vest, all the rights in respect of such securities in the event of my /our death.

PARTICULARS OF THE SECURITIES (in respect of which nomination is being opted out)

Nature of Securities	Folio No.	No. of Securities	Certificate No.	Distinctive No.
Equity Shares	00002499			

I/ we understand the issues involved in non-appointment of nominee(s) and further are aware that in case of my / our death, my / our legal heir(s) / representative(s) are required to furnish the requisite documents /details, including, Will or documents issued by the Court like Decree or Succession Certificate or Letter of Administration / Probate of Will or any other document as may be prescribed by the competent authority, for claiming my / our aforesaid securities.

Name(s) and Address of Security holders(s)
Sole / First Holder Name

Signature(s)

Second Holder Name

Third Holder Name

Name and Address of Witness	Signature