



Ref.OML:MAY:2020-21

DATED: 14.05.2019

Listing Department,  
**Metropolitan Stock Exchange of India Limited**  
Vibgyor Towers, 4th floor,  
Plot No C 62, Opp. Trident Hotel  
Bandra Kurla Complex, Bandra (E),  
Mumbai - 400098  
Email Id: listingcompliance@msei.in.  
Script code: OSWALMIN

**Subject: Compliance Certificate under Reg. 40(9) of SEBI (LODR) Regulations, 2015.**

Dear Sir/Madam,

Please find the Compliance Certificate for the Half Year ended 31.03.2020 as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you,  
Yours faithfully,  
For **OSWAL MINERALS LIMITED**

  
**MILAN MAROTI**  
**COMPANY SECRETARY**  
**MEMBERSHIP: A49355**



Encl: a/a

## OSWAL MINERALS LIMITED

Correspondence : "Oswal's, #1034, 2nd Floor, 1st Main Road, Dr. Rajkumar Road, 4th Block, Rajajinagar, Bengaluru-560 010 Ph.: 080-4309 0000 E-mail: info@oswalminerals.com/ Website: www.oswalminerals.com

Regd. Off. : # 8/11, Police Station Road, Pallavaram, Chennai-600 043  
CIN: L30006TN1996PLC035973 PAN No. AACCM6499G

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**  
**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020**

We have examined all Share Transfer deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. OSWAL MINERALS LIMITED** maintained by **M/s. CAMEO CORPORATE SERVICES LIMITED** pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that:

A) No Share Certificate requesting transfer during the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 has been processed in view of restriction on processing transfer in physical mode pursuant to clause 40(1) of the Listing Regulations.

B) Share Certificate(s) in respect of request received during the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for transmission sub-division, consolidation, renewal, exchange or endorsement of call/allotment monies within 30 days from respective date of lodgement of each deed excepting those rejected on technical grounds.

C) Documents have been verified electronically. Physical verification of the documents could not be done due to the COVID-19 lockdown.

Yours faithfully,

For V&V Co. LLP  
Practising Company Secretaries  
FRN: L2017KR003100



**CS. Venkatraman Hegde**  
Partner

M. No: A38000; CP. No:14223  
UDIN: A038000B000237111

Date: 14<sup>th</sup> May 2020  
Place: Bangalore