



**Ref.OML:OCT:2016-17**

**DATED: 27.10.2016**

Listing Department,  
**Metropolitan Stock Exchange of India Limited**  
Vibgyor Towers, 4th floor,  
Plot No C 62, Opp. Trident Hotel  
Bandra Kurla Complex, Bandra (E),  
Mumbai - 400098  
Email Id: listingcompliance@msei.in.  
Script code: OSWALMIN

**Subject: Compliance Certificate under Reg. 40(9) of SEBI (LODR) Regulations, 2015.**

Dear Sir/Madam,

Please find the Compliance Certificate for the Half Year ended 30.09.2016 as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

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Thanking you,  
Yours faithfully,  
**For OSWAL MINERALS LIMITED**

*Amanpreet*  
**(Amanpreet)**  
**COMPANY SECRETARY**

Encl: as above

## **OSWAL MINERALS LIMITED**

Correspondence : # 1034, 2nd Floor, 1st Main Road, Dr. Rajkumar Road, 4th Block, Rajajinagar,  
Bengaluru-560 010 Ph. : 080-2309 0000 E-mail : oswalgroup@vsnl.com / Website : www.oswalminerals.com  
Regd. Off. : # 8/11, Police Station Road, Pallavaram, Chennai-600 043  
CIN : L30006TN1996PLC035973



**SUBHASHINI GHANTOJI, M.Com, M.B.A, M.Phil  
Company Secretary**

**CERTIFICATE UNDER CLAUSE 47 OF THE LISTING AGREEMENT AND REGULATION 40(9) OF  
THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED SEPTEMBER 30, 2016**

I have examined all Share Transfer Deeds, Memorandum of Transfers, files and other documents relating to **OSWAL MINERALS LIMITED** maintained by **M/s. CAMEO CORPORATE SERVICES LIMITED** at Chennai pertaining to transfer of equity shares of the company for the period from April 1, 2016 to September 30, 2016 for the purpose of issuing Certificate as per Clause 47 of the Listing Agreement entered into by, **OSWAL MINERALS LIMITED** with Metropolitan Stock Exchange on March 31, 2016 and trading of shares with effect from July 27, 2016 and based on the information provided by the Company and hereby certify that the Company has delivered during half year ended on September 2016.

A) Share Certificate relating to the Share Transfer Deeds received during the period from April 1, 2016 to September 30, 2016 as entered in the Memorandum of Transfers have been issued within one month from respective date of lodgment of each deed except those rejected on technical grounds.

B) Company has not received any request for sub-division, consolidation, exchange of duplicate and split certificates

**Subhashini Ghantoji and Co.  
Practicing Company Secretary**



**Place: Bengaluru  
Date: 26.10.2016**

*Subhashini*  
**Subhashini Ghantoji  
Proprietor  
ACS No: 33743  
C P No: 12584**