



Ref.OML:OCT:2017-18

DATED: 9.10.2017

Listing Department,  
**Metropolitan Stock Exchange of India Limited**  
Vibgyor Towers, 4th floor,  
Plot No C 62, Opp. Trident Hotel  
Bandra Kurla Complex, Bandra (E),  
Mumbai - 400098  
Email Id: listingcompliance@msei.in.  
Script code: OSWALMIN

**Subject: Compliance Certificate under Reg. 40(9) of SEBI (LODR) Regulations, 2015.**

Dear Sir/Madam,

Please find enclosed the Compliance Certificate for the Half Year ended 30.09.2017 as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you,  
Yours faithfully,  
For **OSWAL MINERALS LIMITED**

  
**MILAN MAROTI**  
**COMPANY SECRETARY**  
**MEMBERSHIP: A49355**



Encl: a/a

## OSWAL MINERALS LIMITED

**Correspondence :** "Oswal's" # 1034, 2nd Floor, 1st Main Road, Dr. Rajkumar Road, 4th Block, Rajajinagar, Bengaluru-560 010. Ph.: 080-2309 0000, E-mail: oswalgroup@vsnl.com, Website: www.oswalminerals.com

**Regd. Off. :** # 8/11, Police Station Road, Pallavaram, Chennai-600 043 .

**CIN:** L30006TN1996PLC035973

**PAN:** AACCM6499G

[www.oswalminerals.com](http://www.oswalminerals.com)



**SUBHASHINI GHANTOJI, M.Com, M.B.A, M. Phil  
Company Secretary**

**CERTIFICATE UNDER CLAUSE 47 OF THE LISTING AGREEMENT AND REGULATION 40(9) OF  
THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED SEPTEMBER 30, 2017**

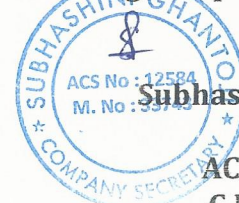
I have examined all Share Transfer Deeds, Memorandum of Transfers, files and other documents relating to **OSWAL MINERALS LIMITED** maintained by **M/s. CAMEO CORPORATE SERVICES LIMITED** at Chennai pertaining to transfer of equity shares of the company for the period from April 1, 2017 to September 30, 2017 for the purpose of issuing Certificate as per Regulation 40(9) and 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that during half year ended on September 30, 2017;

A) No request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of shares was received. Hence, no Share Certificates were required to be issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed.

B) No request for transmission of shares was received.

**Place: Bengaluru  
Date: 09.10.2017**

**Subhashini Ghantoji and Co.  
Practicing Company Secretary**



*Subhashini*  
**Subhashini Ghantoji**  
**Proprietor**  
**ACS No: 33743**  
**C P No: 12584**

**No. 4A, 3<sup>rd</sup> Floor, Sampurna Chambers, Vasavi Road, V.V Puram, Basavanagudi, Bangalore-  
560004**

**Mobile: +91 87470 88844**

**Email: subhashini@ghantojics.com**